

# Regulatory Update

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## **19 January 2023 - Form 26 - Notice of Commencement of Business/Additional Regulated Activities by a Person Exempted from Holding a Capital Markets Services Licence under section 99(1)(a), (b), (c) and (d)**

This is a form for banks, merchant banks, finance companies and insurers to notify MAS of their commencement of business or addition of regulated activity or activities. This form must be submitted at least 14 days before the commencement or addition of the regulated activity or activities.

To view the Form, please click [here](#).

## **11 January 2023 - Code of Corporate Governance**

The Code of Corporate Governance (the "Code") came under the purview of MAS and SGX with effect from 1 September 2007.

To view the Code, please click [here](#).

## **11 January 2023 - Compliance Toolkit for Approvals, Notifications and Other Regulatory Submissions to MAS for REIT Managers**

This Compliance Toolkit aims to guide and facilitate REIT managers' compliance with the various MAS approval and reporting requirements and timelines.

To view this guidance, please click [here](#).

## **11 January 2023 - Compliance Toolkit for Approvals, Notifications and Other Regulatory Submissions to MAS for Fund Managers**

This Compliance Toolkit aims to guide and facilitate licensed fund management companies, registered fund management companies, venture capital fund managers' compliance with the various MAS approval and reporting requirements and timelines.

To view this Guidance, please click [here](#).

## **04 January 2023 - Form 24A - Notice of Cessation of Business as a Registered Fund Management Company**

Form for registered fund management companies to notify MAS prior to their cessation of business in fund management.

To view the Form, please click [here](#).

## **04 January 2023 - Form 23A - Notice of Change of Particulars for a Registered Fund Management Company or a Venture Capital Fund Manager**

This is a form for registered fund management companies and venture capital fund managers to notify MAS of changes to their particulars and particulars of their directors, representatives, relevant professionals or shareholders. This form must be submitted within 14 days after the date of the change.

To view the Form, please click [here](#).

## 03 January 2023 - Lodgement Practice Note for Collective Investment Schemes

Information for issuers and their professional advisers on administrative procedures for the lodgement of prospectuses and other documents for an offer of collective investment schemes.

To view this Notice, please click [here](#).

## CCL Compliance is now Waystone Compliance Solutions

Titan Regulation, Argus Global, CCL Compliance and ISAS are now Waystone Compliance Solutions who offer a new and unique approach to compliance services at a corporate level.

Formed by merging four specialist compliance companies – we have the capabilities to help you manage regulatory risk right across your organisation.

We can provide key services from initial registration and licensing to compliance programme integration. Our compliance solutions span business strategies, market activities, operational and technology infrastructure not to mention sales and marketing procedures. And we can do so anywhere in the world.

Our aim at Waystone is simple: to enable our clients to navigate the complex regulatory environment with confidence.

At Waystone, we have brought together the experience, the expertise and the global reach to give you the certainty you need to address the ever-changing regulatory world. And by doing so, provide you with a secure route on the road to success.

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- Hot Topics
  - COVID-19
  - Senior Managers & Certification Regime (SM&CR)
  - Fifth Anti-money Laundering Directive (5MLD)

If you wish to discuss how Waystone can assist you with any of the issues raised in this regulatory update, please contact us the details below:

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This Regulatory Update provides information about the consultative documents and publications issued by various regulators which are still current, proposed changes to the Rules and Guidance set out in Handbooks, actual changes to Rules and Guidance that have occurred in the months leading up to the update and other matters of relevance to regulated firms. This Regulatory Update is intended to provide general summarised guidance only, and no action should be taken in reliance on it without specific reference to the regulators' document referred to therein.