

# FINRA renewal reminder



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Firms are required to renew their Financial Industry Regulatory Authority (FINRA) registrations on an annual basis, beginning in the fourth quarter of each year.

Key dates for the upcoming deadlines are described below in further detail. Complete details regarding the renewal deadlines are available on the FINRA website here: [Annual Renewal Program](#). For additional information on payment options see: [Renewal Payment Options](#).

## Key dates

**December 9, 2024:** deadline for firms to fully fund their Renewal Account or Flex-Funding Account.

Firms should ensure payment is received and posted to their account by this date. Firms are required to remit full payment of their Preliminary Statement to FINRA by this date or a late fee will be assessed. Money can be deposited directly into your firm's Renewal Account or their Flex-Funding Account.

**December 26, 2024:** filings must be submitted before 6pm ET.

FINRA's CRD/IARD system shuts down for the year at 6pm ET on December 26. Firms should ensure they complete the activities below before the shutdown. **Failure to submit payment by December 26 will cause the associated registrations to automatically terminate effective January 1, 2025.**

- **Submit registration filings.** Including terminating any registrations firms do not want to renew for 2025.
- **Finalize any E-Bill renewal payments or transactions.** Firms that missed the December 9 payment deadline must ensure that renewal funds are posted to their account by December 26. Any unpaid Preliminary Statement will result in jurisdictions automatically terminating registrations for failing to renew. Additionally, failing to renew will result in the inability to conduct business in these jurisdictions effective January 1, 2025.
- **Print and/or save renewal reports** in CRD/IARD. On January 2, 2025, Preliminary Statement reports will be replaced with Final Statement reports.

**January 2, 2025:** retrieve and schedule payment for the Final Statement in E-Bill.

Final Statements are available in E-Bill on January 2, 2025. Preliminary Statements will be replaced with Final Statements in CRD/IARD at this time.

**January 24, 2025:** ensure discrepancies are reported or balance is paid.

- Deadline to submit any amount owed on the Final Statement to FINRA.
- Firms must report to FINRA, in writing, any discrepancies in their Final Statement by this date.

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